



Disciplinary proceedings in progress as at 9 October 2017

| | Name of member / member practice | Date of referral to Disciplinary Panels | Disciplinary Committee members |
|--------|--|--|--|
| Case 1 | LAW, Fei Shing | 15 April 2014 | KAN, Siu Lun (Panel A) LAM, Po Ling, Pearl (Panel A) WARDELL, James (Panel B) WONG, Chun Bong, Alex (Panel B) |
| Case 2 | ANNELLS, Deborah | 15 April 2014 | ISMAIL, Roxanne (Chairman) TSANG, Man Hing, Johnson (Panel A) YOUNG, Ngai Man, Simon (Panel A) BEST, Roger Thomas (Panel B) DONOWHO, Simon Christopher (Panel B) |
| Case 3 | (1) CHENG, Chung Ching, Raymond (2) LAI, Tak Shing, Jonathan (3) HLB Hodgson Impey Cheng | 16 September 2015 | SHUM, Sze Man, Erik Ignatius (Chairman) FUNG, Wei Lung, Brian (Panel A) YU, Ho Wun, Grace (Panel A) CHAN, Wai Tong, Christopher (Panel B) KAM, Pok Man (Panel B) |
| Case 4 | (1) CHAN, Wai Hong, Michael (2) PricewaterhouseCoopers | 8 October 2015 | SHUM, Sze Man, Erik Ignatius (Chairman) YU, Ho Wun, Grace (Panel A) CHUA, Suk Lin, Ivy (Panel B) WARDELL, James (Panel B) |
| Case 5 | TSE, Lap Fu, Lawrence | 19 April 2016 | KWONG, Chi Ho, Cecil (Chairman) CHAN, Kam Hon (Panel A) LEE, Tsung Wah, Jonathan (Panel A) CHEUNG, Yat Ming, Brian (Panel B) LEE, Kwo Hang, Felix (Panel B) |
| Case 6 | (1) LAM, Kwan, Anthony (2) Charles H.C. Cheung & CPA Limited | 19 April 2016 | NG, Wai Yan (Chairman) HUI, Ming Ming, Cindi (Panel A) CHAU, Hoi Yan (Panel A) CHUNG, Kit Yi, Kitty (Panel B) LEES, John Robert (Panel B) |
| Case 7 | TAM, Tak Kuen, Alfred | 25 May 2016 | WILSON, Claire (Chairman) CHEUNG, Sau Fun, Susie (Panel A) CHOI, Wai Wing (Panel B) LAI, Yat Hin, Adrian (Panel B) |
| Case 8 | (1) KWOK, Lai Ha, Helena (2) ROSS, Andrew David (3) Baker Tilly Hong Kong Limited | 19 July 2016 | KAUNG, Wai Ming, Alexander (Chairman) FENN, David (Panel A) LIU, Ling Hong, Stephen (Panel A) CHAN, Kee Sun, Tom (Panel B) LAW, Elizabeth (Panel B) |



Disciplinary proceedings in progress as at 9 October 2017

| | Name of member / member practice | Date of referral to Disciplinary Panels | Disciplinary Committee members |
|---------|---|--|---|
| Case 9 | (1) SETO, Man Fai (2) Parker Randall CF (H.K.) CPA Ltd. | 20 Sept 2016 | KAUNG, Wai Ming, Alexander (Chairman) CHENG, Wei Yan, Vena (Panel A) FENN, David (Panel A) POGSON, Timothy Keith (Panel B) LEES, John Robert (Panel B) |
| Case 10 | (1) KWOK, Chee Tack (2) WONG, Kam Man, Kevin (3) Ernst & Young | 18 October 2016 | WILSON, Claire (Chairman) LAM, Sze Cay, Kevin (Panel A) TSUI, Wai Hang (Panel A) ESPINA, Anthony Joseph (Panel B) LI, Ka Fai, David (Panel B) |
| Case 11 | (1) ROSS, Andrew David (2) FOK, Wai Ming (3) Baker Tilly Hong Kong Limited | 29 November 2016 | WONG, Wing Yan, Kenneth (Chairman) WAN, Chuck Fan, David (Panel A) LEE, Tsung Wah, Jonathan (Panel A) LEUNG, Chi Ying, Kathy (Panel B) ESPINA, Anthony Joseph (Panel B) |
| Case 12 | (1) TAM, Tak Kuen, Alfred (2) Alfred T.K. Tam & Co. | 29 November 2016 | KWONG, Chi Ho, Cecil (Chairman) LAW, Wing Yee, Wendy (Panel A) HONG, Wing Kwong, Wallace (Panel A) CHAN, Kin Man, Eddie (Panel B) SHEN, Ka Yip, Timothy (Panel B) |
| Case 13 | POON, Wai Kit | 24 January 2017 | WAI, Siu Chung, Dominic (Chairman) YUEN Tat Tong (Panel A) YU Tin Yau Elvin (Panel A) WONG Yue Ting Thomas (Panel B) WOO King Hang (Panel B) |
| Case 14 | TSO, Yin Yee | 21 February 2017 | LAM, Ding Wan, Catrina (Chairman) CHAN, Ka Man (Panel A) CHIU, Shun Ming (Panel A) TANG, Kwai Chang, Alfred (Panel B) YEUNG, Chi Wai, Edwin (Panel B) |
| Case 15 | (1) SETO, Man Fai (2) LO, Hung Yan (3) Parker Randall CF (H.K.) CPA Limited | 21 February 2017 | LIM, Kian Leng, Malcolm (Chairman) CHAN, Chak Ming (Panel A) D'SOUZA, Robin Gregory (Panel A) LI, Yin Fan, Fanny (Panel B) CHEUNG, Yiu Leung, Andy (Panel B) |



Disciplinary proceedings in progress as at 9 October 2017

| | Name of member / member practice | Date of referral to Disciplinary Panels | Disciplinary Committee members |
|---------|---|--|---|
| Case 16 | YU, Oi Kee | 25 April 2017 | NG, Wai Yan (Chairman) AU YEUNG, Wai Lun, Kelvin (Panel A) DOE, Julianne Pearl (Panel A) TSAI, Wing Chung, Philip (Panel B) MAN, Mo Leung (Panel B) |
| Case 17 | (1) ROSS, Andrew David (2) FOK, Wai Ming (3) Baker Tilly Hong Kong Limited | 25 April 2017 | (To be constituted) |
| Case 18 | CHAN, Hoi Shing | 25 April 2017 | MAURELLET, Jose-Antonio (Chairman) CHAN, Ka Man (Panel A) DOO, William Junior Guilherme (Panel A) HO, Kam Wing, Richard (Panel B) MAR, Selwyn (Panel B) |
| Case 19 | (1) LAU, Shiu Wai, Franklin (2) AU YEUNG, Tin Wah (3) Lau & Au Yeung C.P.A. Limited | 25 April 2017 | LAU, Queenie Fiona (Chairman) CHARLTON, Julia Frances (Panel A) HO, Man Kay, Angela (Panel A) TSANG, Chi Wai (Panel B) JAMIESON, Grant Andrew (Panel B) |
| Case 20 | (1) AU, Yiu Kwan (2) Baker Tilly Hong Kong Limited | 23 May 2017 | WONG, Wing Yan, Kenneth (Chairman) WAN, Chuck Fan, David (Panel A) LEE, Wai Fun (Panel A) ESPINA, Anthony Joseph (Panel B) LEUNG, Chi Ying, Kathy (Panel B) |
| Case 21 | SETO, Man Fai | 23 May 2017 | LAM, Ding Wan, Catrina (Chairman) KAN, Siu Lun (Panel A) FUNG, Wei Lung, Brian (Panel A) POGSON, Timothy Keith (Panel B) MAN, Mo Leung (Panel B) |
| Case 22 | CHAN, Wing Keung, Simon | 23 May 2017 | (To be constituted) |
| Case 23 | YAN, Kwok Ting, Sunny | 27 June 2017 | (To be constituted) |
| Case 24 | CHAN, Kin Cheong | 27 June 2017 | (To be constituted) |



Disciplinary proceedings in progress as at 9 October 2017

| | Name of member / member practice | Date of referral to Disciplinary Panels | Disciplinary Committee members |
|---------|--|--|--|
| Case 25 | (1) FUNG, Pui Cheung (2) WONG, Ho Yuen (3) Pan-China (H.K.) CPA Limited | 27 June 2017 | (To be constituted) |
| Case 26 | NG, Kwok Ching, Jeremy | 20 July 2017 | (To be constituted) |
| Case 27 | MAK, Wai Man | 20 July 2017 | LAU, Queenie Fiona (Chairman) HO, Wai Lap (Panel A) LAW, Japhet Sebastain (Panel A) CHUA, Suk Lin, Ivy (Panel B) CHEUNG, Yat Ming, Brian (Panel B) |
| Case 28 | (1) LAM, Pik Wah (2) BDO Limited | 20 July 2017 | (To be constituted) |
| Case 29 | (1) TSANG, Chiu Keung (2) Pan-China (H.K.) CPA Limited | 26 Sept 2017 | (To be constituted) |
| Case 30 | (1) YU, Yuk Ping, June (2) YU, Wai Sum (3) KPMG | 26 Sept 2017 | (To be constituted) |
| Case 31 | (1) YIP, Kai Yin (2) NG, Man Chung, Siman (3) Elite Partners CPA Limited | 26 Sept 2017 | (To be constituted) |
| Case 32 | CHIN, Oi Line, Irene | 26 Sept 2017 | (To be constituted) |
| Case 33 | YEUNG, Tat Kwong | 26 Sept 2017 | (To be constituted) |
| Case 34 | CHAN, Yui Hang | 26 Sept 2017 | (To be constituted) |