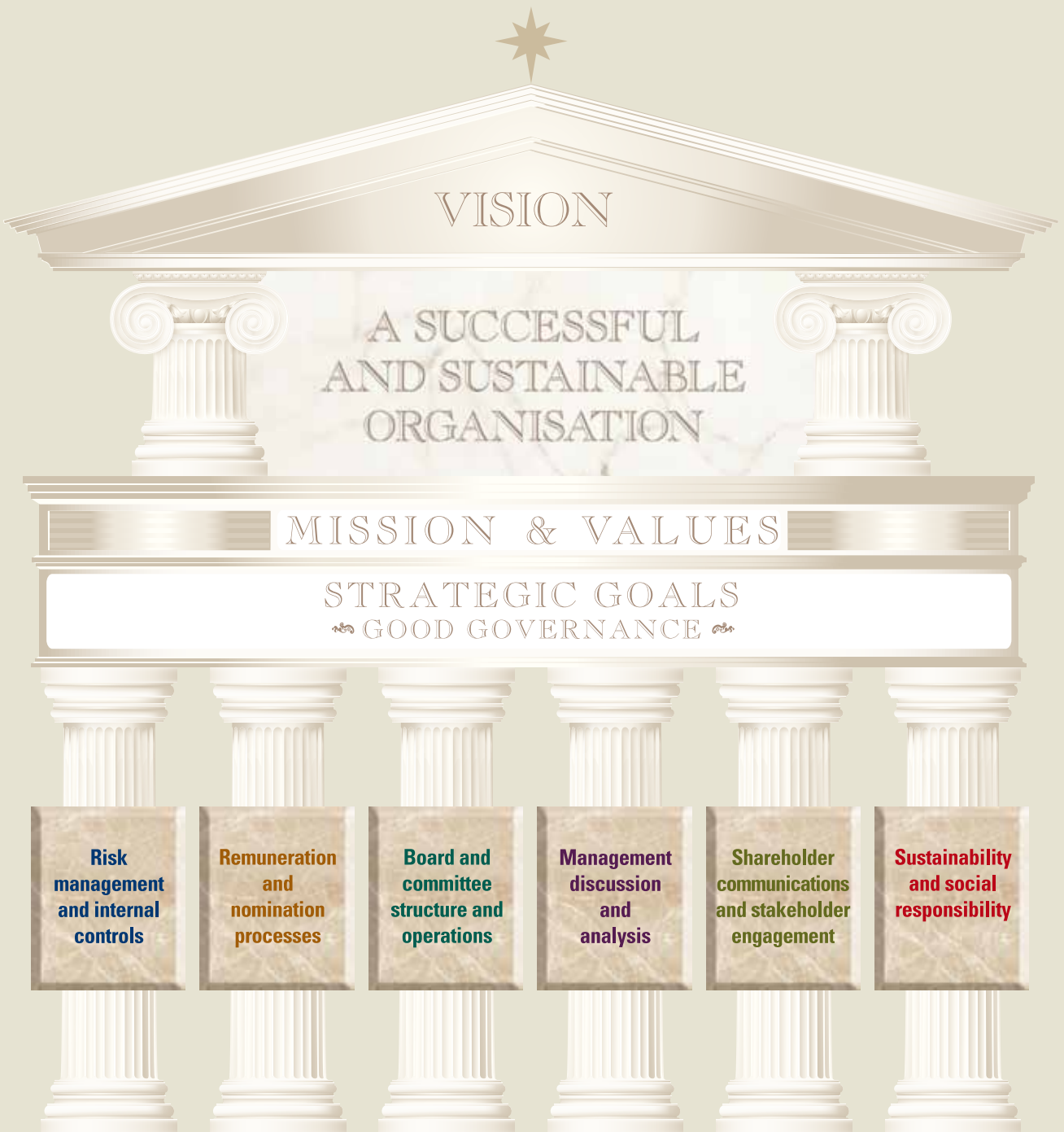




Hong Kong Institute of
Certified Public Accountants
香港會計師公會

Best Corporate Governance Disclosure Awards 2015



2015 最佳企業管治資料披露大獎

Best Corporate Governance Disclosure Awards

The Hong Kong Institute of Certified Public Accountants' Best Corporate Governance Disclosure Awards ("Awards") is acknowledged for its depth, breadth and objectivity. It has established a recognized benchmark for gauging Hong Kong's corporate governance standards. The Institute is now accepting entries for the 2015 Awards.

Objectives

- Promote awareness of corporate governance
- Encourage improvements in the standard of corporate governance disclosure and practices in Hong Kong
- Recognize companies and organizations which set the standard in terms of their corporate governance disclosures and practices, as reflected in their annual reports

Eligibility for Entry and Judging Categories

- Listed companies:
 - Main Board*
 - Hang Seng Index (HSI)-constituent companies
 - Main Board or Growth Enterprise Market (GEM)*
 - Non-HSI-constituent companies - large market capitalization
 - Non-HSI-constituent companies - mid-to-small market capitalization
 - H-share companies and other Mainland enterprises
- Public sector / Not-for-profit organizations

Types of Awards

- Diamond, Platinum and Gold
- Significant Improvement
- Sustainability and Social Responsibility Reporting
- Special Mentions / Commendations may be given out, at the discretion of the judges, to other companies and organizations whose annual reports reflect good corporate governance disclosures and practices, or strengths in important facets of good governance

Review and Judging Procedures

Review and judging panels will comprise representatives from the financial market regulators, the HKSAR Government, investors, professional associations and academia, as well as prominent individuals in the field of corporate governance.

There will be two levels of review:

Quality Review

An assessment of the quality and standard of presentation and disclosure of corporate governance information in annual reports, as a reflection of corporate governance practices. The emphasis is on voluntary disclosures that exceed the minimum requirements.

Compliance Review

A check on compliance with the mandatory corporate governance disclosure requirements under the Companies Ordinance and the Stock Exchange Main Board or GEM Listing Rules, as appropriate.

The review panel will shortlist annual reports in each category for final judging by the judging panel, which will then determine the award winners.

Judging Criteria

The judging criteria include the following:

- Overall presentation
- Promptness of reporting
- Quality of disclosure in relation to the following information:
 - corporate governance statement and practice
 - capital structure
 - board structure and functioning, including board composition and diversity
 - management discussion and analysis, including operating and financial affairs and strategic outlook
 - remuneration policy and details of directors' and senior management's remuneration packages
 - nomination committee's work and policies and nomination processes
 - internal controls and risk management
 - corporate social responsibility and environmental reporting
 - connected transactions and relationships
 - other voluntary disclosures relating to, e.g., board committees, internal audit, shareholder communications and investor relations
- Compliance with corporate governance disclosure requirements of the Companies Ordinance and the Stock Exchange Listing Rules
- Ease of identifying compliance information

The judges may also take into account other publicly-known information that reflects on particular companies' and organizations' standard of corporate governance.

The decision of the judges will be final. In the event that the judges are unable to find entries of sufficient merit, they may, at their discretion, withhold some awards.

Results Announcement and Awards Presentation

- A media briefing will be held in late November 2015 to announce the award winners
- A judges' report on the winning entries will be published. It will be distributed for public information and posted on the Institute's website
- An awards presentation ceremony, which the winners will be invited to attend, will also be held in late November 2015
- Media representatives will be invited to cover the presentation ceremony as part of the publicity arrangements

Entry Procedure

- Entry forms must be completed and returned to the Institute, together with 15 English copies and two Chinese copies (if applicable) of the latest published annual report for the financial year ended:
 - between 1 April 2014 and 31 March 2015 for the listed company categories
 - between 31 December 2013 and 31 March 2015 for the public sector / not-for-profit organizations category
- No submission fee is required
- The submission deadline is **13 August 2015**

The Institute's online guide on better corporate governance disclosure is available at <http://www.hkicpa.org.hk/en/membership-and-benefits/professional-representation/corporate-governance/publications/gov-publications/cg-practices/>.

Supporting Organizations

BDO Ltd.
BlackRock
Charles Lo & Co.
Deloitte Touche Tohmatsu
Ernst & Young
Grant Thornton Hong Kong Ltd.
HLB Hodgson Impey Cheng Ltd.
KLC Kennic Lui & Co.
KPMG
Mazars CPA Ltd.
Nexia Charles Mar Fan Ltd.
Pan-China (H.K.) CPA Ltd.
PricewaterhouseCoopers
SHINEWING (HK) CPA Ltd.
Wong Brothers & Co., CPA
ZHONGHUI ANDA CPA Ltd.

Financial Reporting Council
Financial Services and the Treasury Bureau
Hong Kong Exchanges and Clearing Ltd.
Hong Kong Investment Funds Association
Hong Kong Investor Relations Association
Hong Kong Monetary Authority
Hong Kong Securities Association
Hong Kong Securities and Investment Institute
Hong Kong Venture Capital and
Private Equity Association
Mandatory Provident Fund Schemes Authority
Securities and Futures Commission
The Hong Kong Institute of Chartered Secretaries
The Hong Kong Institute of Directors

Enquiries

Any enquiries in relation to the Best Corporate Governance Disclosure Awards 2015 should be directed to:

Advocacy and Practice Development Department
Hong Kong Institute of Certified Public Accountants
37/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
Tel : 2287 7228 Fax : 2865 6603 / 2865 6776 e-mail : bcgda@hkicpa.org.hk

2015 最佳企業管治資料披露大獎

Best Corporate Governance Disclosure Awards

香港會計師公會「最佳企業管治資料披露大獎」，以一貫全面、客觀及嚴謹態度評審企業管治水平，備受社會各界認同，為本港樹立最佳企業管治水平的指標。公會宣佈本年度大獎現已接受報名。

宗旨

- 提高香港的企業管治意識
- 鼓勵香港企業提高管治資料披露及實務的水平
- 對年報內披露企業管治資料和管治架構及實務方面能達致高水平的公司/機構作出表揚

參賽者資格與評選組別

- 上市公司：
 - 主板
 - 恒生指數(恒指)成份股公司
 - 主板或創業板
 - 非恒指成份股公司 — 大市值
 - 非恒指成份股公司 — 中/小市值
 - H股公司與其他中國內地企業
- 公營/非牟利機構

獎項類別

- 鑽石、白金及金獎
- 最佳進步獎
- 可持續發展及企業社會責任報告
- 對個別公司及機構在年報內展示優良企業管治資料披露及實務，或於重要管治範疇內有出色表現者，評審團可決定予以嘉許表揚。

評審程序

評審工作由遴選委員會及評審團負責，成員包括金融市場監管機構、香港特別行政區政府機構、投資者、專業團體的代表、學者以及企業管治方面的知名人士。

參賽公司/機構的年報將進行兩重遴選：

質素評審

對參賽公司/機構年報內反映其企業管治實務之資料披露及編排的質素及水平進行評審，並注重自願披露最低規定以外的資料。

遵行規例評審

對年報內容能否符合公司條例及聯交所主板或創業板上市規則內有關企業管治資料披露的要求進行評審。

遴選委員會將審閱所有參賽公司/機構的年報，從各組別中挑選出最佳的年報，供評審團作最後評選，以選出各組別的獎項。

評選標準

評選標準包括以下項目：

- 整體編排
- 資料發佈的迅速程度
- 下列資料的披露質素：
 - 企業管治報告及實務
 - 資本結構
 - 董事會結構及職能（包括組成成員和多元化）
 - 管理層對經營及財務狀況、策略性展望的討論和分析
 - 薪酬政策及董事和高級管理人員的薪酬詳情
 - 提名委員會的工作、政策及提名過程
 - 內部監控和風險管理
 - 企業社會責任和環境報告
 - 關連交易及各關連方之間的關係
 - 關於董事委員會、內部審計、股東通訊及與投資者關係的其他自願披露的資料
- 遵守公司條例及聯交所上市規則內有關企業管治資料披露的要求
- 找到有關規例要求披露資料的容易程度

評審團亦會考慮其他與參賽公司/機構企業管治水平相關的公開資料。

評審團的評選結果為最終的決定。若評審團未能選出合適的獎項得主，則有權取消某些獎項。

結果公佈及頒獎典禮

- 傳媒發佈會將於二零一五年十一月下旬舉行，並公佈獎項得主。
- 公會將編製評審報告，除派發予各界參閱外，評審報告亦會在公會的網頁發佈。
- 頒獎典禮亦將於二零一五年十一月下旬舉行，大會將邀請得獎者出席。
- 傳媒代表將獲邀採訪頒獎典禮實況，作為整體宣傳活動之一。

參選程序

- 請將參加表格填妥，連同十五本英文及兩本中文（如適用）的最新年報一併提交香港會計師公會。
 - 上市公司組別：財政年度截止於二零一四年四月一日至二零一五年三月三十一日期間之年報
 - 公營/非牟利機構組別：財政年度截止於二零一三年十二月三十一日至二零一五年三月三十一日期間之年報
- 無須參賽費用
- 遞交表格截止日期為二零一五年八月十三日

有關公會更佳企業管治資料披露的網上指引，可於以下網址下載 <http://www.hkicpa.org.hk/en/membership-and-benefits/professional-representation/corporate-governance/publications/gov-publications/cg-practices/>。

支持機構

香港立信德豪會計師事務所有限公司
貝萊德
勞啟明會計師行
德勤•關黃陳方會計師行
安永會計師事務所
致同(香港)會計師事務所有限公司
HLB國衛會計師事務所有限公司
呂禮恒會計師事務所
畢馬威會計師事務所
瑪澤會計師事務所有限公司
馬炎璋會計師行有限公司
天健(香港)會計師事務所有限公司
羅兵咸永道會計師事務所
信永中和(香港)會計師事務所有限公司
民信會計師事務所
中匯安達會計師事務所有限公司

財務匯報局
財經事務及庫務局
香港交易及結算所有限公司
香港投資基金公會
香港投資者關係協會
香港金融管理局
香港證券業協會
香港證券及投資學會
香港創業及私募投資協會
強制性公積金計劃管理局
證券及期貨事務監察委員會
香港特許秘書公會
香港董事學會

查詢

如對二零一五年度最佳企業管治資料披露大獎有任何查詢，請與香港會計師公會倡導及專業發展部聯絡。

香港會計師公會

地址：香港灣仔皇后大道東213號胡忠大廈37樓

電話：2287 7228 傳真：2865 6603 或 2865 6776 電郵：bcgda@hkicpa.org.hk

To : Hong Kong Institute of Certified Public Accountants
37/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
Attn : Advocacy and Practice Development Department
致 : 香港灣仔皇后大道東213號胡忠大廈37樓香港會計師公會
倡導及專業發展部

Entry Form 參加表格

Name of company / organization 公司 / 機構名稱

Annual report for the year ended 年報結算日期

Dispatch date of annual report to shareholders / stakeholders
年報寄予股東 / 其他人士的日期

Correspondence details of the contact person 聯絡人通訊資料

Name 姓名

Position 職銜

Address 地址

Telephone 電話

Fax 傳真

E-mail 電郵

15 English copies and two Chinese copies (if applicable) of the published annual report for adjudication are enclosed.

茲附上本公司 / 機構十五本英文及兩本中文（如適用）已公佈年報供大會評選之用。

Signature 簽署

Date 日期

(with company chop 公司蓋章)

Deadline for Entry : 13 August 2015 截止日期：二零一五年八月十三日



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Media Support

