



## Hong Kong Institute of CPAs & Securities and Futures Commission Joint Seminar on The Audit of Licensed Corporations

<b>Date</b>	<b>Monday, 20 January 2014</b>
<b>Time</b>	6:30 p.m. – 8:30 p.m.
<b>Venue</b>	Hong Kong Institute of Certified Public Accountants 27th Floor, Wu Chung House 213 Queen's Road East, Wanchai, Hong Kong
<b>Chairperson</b>	- <b>Mr. Simon Riley</b> Head of Professional Services BDO Limited
<b>Speakers</b>	- <b>Ms. Agnes Tso</b> Partner, Financial Services Ernst & Young  - <b>Mr. Frankie Fan</b> Senior Manager, Intermediaries Supervision Department, Intermediaries Division Securities and Futures Commission
<b>Admission Fee</b>	HK\$190 for member / HK\$330 for non-member
<b>Event Code</b>	<b>F140120</b>
<b>CPD credit</b>	2 hours
<b>Competency</b>	Auditing and Assurance
<b>Rating</b>	Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.
<b>Application Deadline</b>	<b>13 January 2014</b>

### ABOUT THE SEMINAR

The seminar comprises two parts as follows:

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|--|---|
| (A) Practice Note  | (B) Auditors and Intermediaries Supervision   |
| <ul style="list-style-type: none"><li>• Highlight of the key reporting responsibilities of the auditors</li><li>• Discussion of topical issues and areas of concern over applying PN 820</li><li>• Impact of the key Auditing Standards issued</li></ul> | <ul style="list-style-type: none"><li>• Audit / Compliance Review under s156 SFO &amp; Reporting Under s157 SFO</li><li>• Fraud/Breach Investigation</li><li>• Special Compliance and Internal Control Review</li><li>• Consultancy engagements</li></ul> |

### REGISTRATION

**To register**, please complete the enclosed enrolment form and return it with full payment to the Institute. Enrolments will be accepted **on a first-come-first-served basis** with priority given to the Institute's members.

**For enquiries**, please contact:

- 2287 7057 (Yvonne Chew) for event information;
- 2287 7379 (David Lok) for payment and enrolment status.

\* Please refer [here](#) for descriptions of other competencies and ratings.

Acceptance is on a first-come-first-served basis. Confirmation of registration will be sent by e-mail. If confirmation has not been received on 18 January 2014, please contact Ms. Yvonne Chew at 2287 7057 / Kay Choy at 2287 7220 or email: [tls@hkicpa.org.hk](mailto:tls@hkicpa.org.hk)

# Hong Kong Institute of CPAs & Securities and Futures Commission Joint Seminar on The Audit of Licensed Corporations

20 January 2014



Hong Kong Institute of  
Certified Public Accountants  
香港會計師公會

**[Please click here for online enrolment](#)**  
**(for HKICPA members only)**

Finance & Operations Department,  
Hong Kong Institute of CPAs  
37th Floor, Wu Chung House,  
213 Queen's Road East, Hong Kong  
Fax no.: 2893-9853

Name: (\*Mr. / Mrs. / Ms.) \_\_\_\_\_ HKICPA Membership No.: \_\_\_\_\_  
(if applicable)

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_ Email: \_\_\_\_\_  
(for enrolment confirmation purpose)

Telephone No.: \_\_\_\_\_ Fax No.: \_\_\_\_\_

Please tick ✓ as appropriate

	Joint Seminar on The Audit of Licensed Corporations (F140120) – 20 January 2014 (Monday)
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<b>Admission Fee:</b>		<input type="checkbox"/> HK\$ 190 (HKICPA members)
		<input type="checkbox"/> HK\$ 330 (Non-HKICPA members)
<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"		
<input type="checkbox"/> VISA / MasterCard <input type="checkbox"/> BOC HKICPA UnionPay card		
Card Number:		Card Expiry Date (MM/YY):
Cardholder's Name (block letters):	Cardholder's Signature:	Date:
If you require a receipt, please put a "✓" indicate your choice of delivery:		
<input type="checkbox"/> by email <input type="checkbox"/> by post (to the address as shown below)		
Name :	Name :	
Address :	Address :	

**Note:**

- No telephone reservation will be accepted.
- Full payment must be made before your enrolment will be processed.
- The closing date for enrolment is **13 January 2014**.
- Confirmation email will be sent to successful participants by the Member & Corporate Services Department two working days prior to the event. If you do not hear from us by then, please contact Ms. Yvonne Chew at 2287 7057.
- Unsuccessful enrolment will be notified two days before the event with full refund by the Finance & Operations Department.
- Unless the event is cancelled due to unforeseen circumstances, no refund will be entertained after your enrolment has been processed.
- Bad weather arrangement: When typhoon signal no. 8 or above / black rainstorm warning is hoisted at or after 2:30 p.m. on the date of event. Admission fee will be refunded.

Payment & Enrolment Enquiry: 2287 7381  
E-mail: [finance@hkiipa.org.hk](mailto:finance@hkiipa.org.hk)

Event Information Enquiry: 2287 7057  
E-mail: [tls@hkiipa.org.hk](mailto:tls@hkiipa.org.hk)