



Building an Internal Audit Function

Date & Time	16 April 2016 9:30 a.m. – 12:30 a.m.
Venue	KPMG Training Centre 28/F Oxford House, Tai Koo Place, Quarry Bay, Hong Kong
Speaker	Mr. Roy Lo Managing Director, SHINEWING (HK) CPA Limited Ms. Gloria So Senior Manager, SHINEWING Risk Services Limited
CPD Credit hour	3 hours
Fee	Member HK\$420 Non-member HK\$680
Language	Cantonese
Competency	Auditing and Assurance
Rating	Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.
Highlights	<p>New Corporate Governance Code becomes effective for accounting periods beginning on or after 1 January 2016. Under the new Code Provision C.2.5, an issuer should have an internal audit function. It further states that an internal audit function generally carries out the analysis and independent appraisal of the adequacy and effectiveness of the issuer's risk management and internal control systems. In this workshop, the speakers are going to discuss:</p> <ul style="list-style-type: none">• the steps on setting up an internal audit function• how to develop an internal audit charter, plan and reporting mechanism• the role of internal auditing in enterprise-wide risk management• the tips on building an effective internal audit team <p>* Please refer here for descriptions of other competencies and ratings.</p>

About the speaker

Mr. Roy Lo Managing Partner, SHINEWING (HK) CPA Limited

Mr. Lo is a practicing member of HKICPA, CPA Australia and ICAEW. He is currently a managing partner of SHINEWING (HK). He has accumulated over 22 years of auditing, merger and acquisition, IPO advisory experience in different industries. He is mainly specialized in assurance and listing projects for both PRC and Hong Kong companies. He is also responsible for various risk management and internal control engagements.

Ms Gloria So Senior Manager, SHINEWING Risk Services Limited

Ms. So is a Certified Public Accountant in Hong Kong. She has over 13 years of working experience in enterprise risk management, internal controls, information technology general control review, financial due diligence and external audit. Her industry experiences include performing SOX404, Internal Audit Reviews, Enterprise Risk Management framework and other strategic review engagements for different industries. She also takes charged in pre-IPO due diligence engagements for Hong Kong based listed companies.



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Date: 16 April 2016

Registration Deadline: 9 April 2016

Event Code: WAU160416

[Please click here for online enrolment
 \(for HKICPA members only\)](#)

Name: _____ HKICPA Membership /Student No. _____
 (if applicable)

Company name: _____

Position held: _____ Email: _____
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Admission Fee: <input type="checkbox"/> HK\$ 420 (HKICPA members) <input type="checkbox"/> HK\$680 (Non-HKICPA members)	
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