



## Financial Services Interest Group (FSIG) Lunch Seminar *Update on Exchange Traded Funds ("ETFs")*

### Speakers

#### Mr. Ray Chan

Vice President and Head of ETF Business Development, Asia ex-Japan,  
State Street Global Advisors Asia Limited ("SSGA Asia")

#### Mr. David Chai

Managing Director, State Street Global Advisors,  
Head of Global Structured Products, SSGA Asia

### Chair

Ms. Lily Kwok  
Member, FSIG Organizing Committee

### Date & Time

**9 July 2015, Thursday**  
**1:00 p.m. – 2:00 p.m.**

### Venue

HKICPA, 27th Floor, Wu Chung House,  
213 Queen's Road East, Wan Chai.

### Competency\*

Industry knowledge

### Rating\*

Intermediate level

### Admission Fee

\$130 (HKICPA member)  
\$220 (non-HKICPA member)  
***(Sandwiches and drinks will be provided at 12:30 p.m.)***

### Recommended CPD hour

1 hour (subject to actual attendance)

### Language

English

### Enrolment deadline

**3 July 2015**

\* Details of [Competency and Rating](#) information

## ABOUT THE SEMINAR

An ETF, or exchange traded fund, is a marketable security that tracks an index, a commodity, bonds, or a basket of assets like an index fund. Unlike mutual funds, an ETF trades like a common stock on a stock exchange. In 2008 the US Securities and Exchange Commission began to authorize the creation of actively managed ETFs. US ETF assets went above \$2 trillion in December 2014.

In Hong Kong, ETFs are traded on the securities market of Hong Kong Exchanges and Clearing Limited ("HKEx"). The average daily turnover of HKEx's ETF market was HK\$8.22 billion in March 2015, up 210% from the same period last year.

At the seminar, Mr. Ray Chan and Mr. David Chai from SSGA Asia will give the latest update on ETFs including the global development and trends, portfolio implementation of using ETFs and insights into evaluating ETFs. They will also take questions from the floor.



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### ABOUT THE SPEAKERS

**Mr. Ray Chan** is currently the Vice President and Head of ETF Business Development, Asia ex-Japan at State Street Global Advisors Asia Limited ("SSGA Asia").

Mr. Chan has an extensive background in the asset management industry and specializes in investment advisory for institutional investors and wealth managers across asset classes. Currently, he oversees the SPDR ETFs team for Asia ex-Japan which focuses on providing specialist consultation and services to institutional clients and wealth management distributors on the use and trading execution of ETFs in investment portfolios.

Prior to joining SSGA Asia, Mr. Chan held various senior positions working with the leading global asset managers including Schroders, Legg Mason, and Capital Group. He obtained his business administration degree from the University of Hawaii, USA.

**Mr. David Chai** is the Managing Director of State Street Global Advisors ("SSGA") and Head of Global Structured Products at SSGA Asia, responsible for overseeing the indexing investment including ETFs in the region of Asia ex-Japan.

Mr. Chai joined SSGA, Australia, Limited in May 2001 as a Portfolio Manager in the Global Structured Products Group. In 2006, he was relocated to Hong Kong to take up the existing position.

Mr. Chai was awarded a First Class Honors degree in Science and a Master of Science degree in Mathematical Statistics from Monash University, Victoria.

### REGISTRATION

#### To register:

- Online registration (for HKICPA members only): [Click here](#) or visit [www.hkicpa.org.hk](http://www.hkicpa.org.hk)
- By post or by fax: Complete the attached enrolment form and return it to the Institute on or before **3 July 2015**, together with full payment. Cheque should be made payable to the "Hong Kong Institute of Certified Public Accountants" or "HKICPA".
- Fax registration will only be accepted if payment is made by any VISA / MasterCard or the BOC HKICPA UnionPay card. **Acceptance is on a first-come-first-served basis with priority given to FSIG members.**
- If you are not the FSIG member, please click [HERE](#) to download the FSIG membership application form. **NO** registration fee is required.
- If you have not received the confirmation by 7 July 2015, please contact May Hung at 2287 7009 / Canace Leung at 2287 7089 or email: [may@hkicpa.org.hk](mailto:may@hkicpa.org.hk).



**FSIG Lunch Seminar -**

**Update on Exchange Traded Funds**

**Finance & Operations Department,  
 Hong Kong Institute of CPAs**

37th Floor, Wu Chung House,  
 213 Queen's Road East, Hong Kong.

Fax no: 2893 9853

**Enrolment Form**

**\*Online enrolment (for HKICPA members only)\***

**9 July 2015**

(Please reply on or before **3 July 2015**)

**FOR OFFICE USE**

Seq. no.: \_\_\_\_\_

Handled by: \_\_\_\_\_

You can check it at "My CPA" at <http://www.hkicpa.org.hk>. Unsuccessful enrolment will be notified before the commencement of the event with full refund.

**Please use BLOCK letters :-**

Name: \_\_\_\_\_

Company Name.: \_\_\_\_\_

HKICPA Membership no.: \_\_\_\_\_

FSIG member:  Yes  No

Position: \_\_\_\_\_

Email: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax No.: \_\_\_\_\_

(Please tick the appropriate box(es))

<b>Admission Fee:</b> <input type="checkbox"/> \$130 (HKICPA member)		<input type="checkbox"/> \$220 (non-HKICPA member)	
<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"			
<input type="checkbox"/> VISA / MasterCard		<input type="checkbox"/> BOC HKICPA UnionPay card	
Card Number:	_____	Card Expiry Date (MM/YY):	_____
Cardholder's Name (block letters):	_____	Cardholder's Signature:	_____
		Date:	_____
<input type="checkbox"/> I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)			

**Notes:**

- Enrolments are accepted on a first-come-first-served basis with priority given to FSIG members.
- Full payment must be made before your application will be processed. No telephone reservation will be accepted.
- The closing date for enrolment is **3 July 2015**. However, the Institute has the discretion to accept late applications as it sees fit.
- Successful enrollees will receive confirmation on or before 7 July 2015 by email whereas unsuccessful applicants will receive full refund by mail.
- Unless the event is cancelled due to unforeseen circumstances or bad weather, no refund for withdrawal will be given after the enrolment has been processed. The enrolment is non-transferrable to other CPD course / participant.
- Bad weather arrangement:** The event will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 6:30 a.m. on the date of event. In the event of bad weather, the admission fee will be refunded.
- The Institute reserves the right to change the venue, date, speaker and/or rundown of the event due to unforeseen circumstances.

**Personal Data:** Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://www.hkicpa.org.hk/en/members-area/comm-preference/>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>.

**Payment & Enrolment Status Enquiry:** 2287 7381  
 e-mail: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry:** 2287 7009 (May Hung)  
 e-mail: [may@hkicpa.org.hk](mailto:may@hkicpa.org.hk)

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.	
Name :	Name :
Address :	Address :