



## Corporate Finance Interest Group (CFIG) Evening Seminar

# Shenzhen-Hong Kong Stock Connect (深港通)

**Speaker****Mr. Tae Yoo**

*Managing Director, Client & Marketing Services,  
Market Development Division,  
Hong Kong Exchanges and Clearing Ltd*

**Date & Time**

**3 October 2016, Monday**  
**6:30 p.m. – 8:00 p.m.**

**Venue**

HKICPA, 27th Floor, Wu Chung House,  
213 Queen's Road East, Wanchai, Hong Kong.

**Competency\***

Corporate Finance

**Rating\***

Intermediate level

**Admission Fee**

\$190 (HKICPA member)  
\$330 (non-HKICPA member)  
*(Light refreshment will be provided at 6 p.m.)*

**CPD hour**

1.5 hours

**CPT credit**

Please check with your compliance officer or other relevant personnel of your firm, as this event may be acceptable for CPT recognition for SFC licensed persons.

**Language**

English

**Enrolment deadline**

**28 September 2016**

\* Details of [Competency and Rating](#) information

## ABOUT THE SEMINAR

In mid-August 2016, the Securities and Futures Commission and the China Securities Regulatory Commission [announced](#) the approval, in principle, for the development of Shenzhen-Hong Kong Stock Connect (深港通) ("stock connect") to enhance capital market connectivity between the two places. The stock connect will be established by the Shenzhen Stock Exchange, The Stock Exchange of Hong Kong Limited, China Securities Depository and Clearing Corporation Limited and Hong Kong Securities Clearing Company Limited.

As this seminar, Mr. Tae Yoo, Managing Director in Client & Marketing Services at HKEX will tell you more about the key features of the stock connect including the shares eligible to be traded under the scheme, eligible investors and daily quotas, etc.



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#### **ABOUT THE SPEAKER**

**Mr. Tae Yoo**

***Managing Director, Client & Marketing Services,  
Market Development Division,  
Hong Kong Exchanges and Clearing Ltd ("HKEX")***

Mr. Yoo serves as Managing Director in Client & Marketing Services at HKEX. His department is responsible for client relationship management, including key relationships between HKEX and their intermediaries, global buy-side, and custodians and trusts bank.

Since joining HKEX in 2011, Mr. Yoo was co-head of platform development & strategy and head of product & index development. He has over 20 years of financial industry experience with 14 years at CME Group in business development, sales and risk management in Chicago and Asia. Prior to CME, he worked at Sumitomo Mitsui Bank (Sakura Dellsher, Inc.) and at Goldman Sachs & Co. in Chicago.

Mr. Yoo holds a bachelor's degree from the University of Notre Dame, MBA from the Stuart School of Business at the Illinois Institute of Technology, and GSAL program at the University of Chicago, Graduate School of Business. He has been named an inventor on nine U.S. patents on derivatives risk management applications.

#### **REGISTRATION**

**Online registration is recommended, please [click here](#) or visit the Institute's website: [www.hkicpa.org.hk](http://www.hkicpa.org.hk).**

To register offline (by post or by fax):

- Complete the [enrolment form](#) and return it to the Institute on or before the enrolment deadline, together with full payment. Cheque should be made payable to the "Hong Kong Institute of Certified Public Accountants" or "HKICPA".
- Fax registration will only be accepted if payment is made by any VISA / MasterCard card or the BOC HKICPA Visa / UnionPay card. Acceptance is on a first-come-first-served basis.