

Listing Rule amendments relating to connected transactions

About the topic

Earlier this year, the Stock Exchange of Hong Kong published a number of changes to the Listing Rules in relation to connected transactions, including to the definitions of "connected person" and "associate". The changes aimed to simplify and improve the clarity of the relevant rules and to align definitions in different parts of the Listing Rules.

At the seminar, Mr. Sammy Chau of HKEx will explore these changes to the Listing Rules in greater depth, including:

- Background and purpose
- Rule changes relating to connected transactions
- Rule changes relating to definitions of "connected person" and "associate"

About the programme

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|-----------------------------|---|
| Date & Time | 23 July 2014, Wednesday 6:30 p.m. – 8:00 p.m. |
| Venue | Theatre of the Joint Professional Centre Unit 1, G/F, The Center 99 Queen's Road Central, Hong Kong (Please click here for the location map) |
| Fee | <ul style="list-style-type: none"> > CFGI members - HK\$250 per person > Non-CFIG members - HK\$380 per person Priority is given to CFGI members. <i>Not a CFGI member? Click HERE to join.</i> |
| CPD credit | 1.5 hours (subject to actual attendance) |
| CPT credit | Please check with your compliance officer or other relevant personnel of your firm, as this event may be acceptable for CPT recognition for SFC licensed persons. |
| Language | English |
| Competency | Corporate Finance* |
| Rating | Intermediate level* |
| Application deadline | 18 July 2014 |

Rundown

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|----------------|---|
| 6:10 pm | Registration (Refreshments provided) |
| 6:30 pm | Introduction |
| 6:35 pm | Presentation by speaker |
| 7:35 pm | Q&As / Discussion |
| 7:55 pm | Closing remarks |

Speaker

Mr. Sammy Chau
Vice President
Compliance and Monitoring
Listing Department, HKEx

Chairperson

Mr. Tony Chan
Chairman
Corporate Finance Committee
HKICPA

* Please refer [here](#) for descriptions of the various ratings and competencies.



About the speaker

Mr. Sammy Chau
Vice President
Compliance and Monitoring, Listing Department
HKEx

Sammy is currently a vice president of the compliance and monitoring team of the HKEx Listing Department. He is currently one of the team leaders overseeing a portfolio of listed companies including banking and insurance companies. Before joining HKEx in January 2001, Sammy worked for an international accounting firm in Hong Kong and was involved in audit and advisory work.

Sammy is a fellow member of both the Hong Kong Institute of Certified Public Accountants and the Association of Chartered Certified Accountants.

Registration

The number of places is limited. In the event of over-subscription, **places will be allocated on a first-come-first-served basis with priority given to CFG members**. If you are not a CFG member, click [HERE](#) to download a CFG membership application form.

To register:

- **Online registration:** For the time being, this facility ([click here](#)) is available only to members who are Institute and CFG members. If you are not both, please reply by post or fax.
- **By post or fax:** Complete the attached reply slip and return it to the Institute **on or before 18 July 2014**, together with a cheque made payable to the "Hong Kong Institute of Certified Public Accountants" or "HKICPA". Fax registration will be accepted for payment by any VISA/Master card or the BOC HKICPA UnionPay card. Confirmation of registration will be sent by e-mail. If confirmation has not been received by 22 July 2014, please contact Ms May Hung at 2287 7009 / Ms Canace Leung at 2287 7089.



CFIG seminar – Listing Rule amendments relating to connected transactions

MCS-2 Event Code: CFIG140723

Finance & Operations Department
Hong Kong Institute of CPAs
37th Floor, Wu Chung House
213 Queen's Road East
Wanchai, Hong Kong

Fax no: 2893 9853

[*Click here](#) for online enrolment (read conditions set out under "Registration")

23 July 2014

(Reply on or before 18 July 2014)

FOR OFFICE USE

Seq. no.:
Handled by:

Please allow 4 days to process your application. You can check your enrolment status it at ["My CPA"](#). Unsuccessful enrolment will be notified before the commencement of the event with full refund.

Please type or print:-

Name: (*Mr./ Mrs. /Ms.) _____ Institute membership no.: _____

Company name: _____

Position held: _____ Email: _____

Telephone no.: _____ Fax no.: _____

You may use a separate sheet to list out the name, position and Institute membership no. (if applicable) of additional participant(s) from the same firm/company as the above applicant.

Please "✓" as appropriate

| | | | |
|---|-------------------------|--|--|
| Admission Fee: | | <input type="checkbox"/> CFIG member: HK\$250 per person x _____ person(s) = HKD _____ (Cheque no. _____) | |
| | | <input type="checkbox"/> Non-CFIG member: HK\$380 per person x _____ person(s) = HKD _____ (Cheque no. _____) | |
| Payment by: | | <input type="checkbox"/> Cheque (separate cheque for CFIG members and non-CFIG members, respectively). Cheque made payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA" | |
| | | <input type="checkbox"/> VISA / Master card <input type="checkbox"/> BOC HKICPA UnionPay card | |
| Card Number: | | Card Expiry Date (MM/YY): | |
| Cardholder's Name (block letters): | Cardholder's Signature: | Date: | |
| <input type="checkbox"/> I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.) | | | |

Notes:

- Enrolments are accepted on a first-come-first-served basis with **priority given to CFIG members**. Telephone reservations will not be accepted.
- Full payment must be made before applications will be processed. Application by fax will **ONLY** be accepted when payment is made by credit card. Cash is strictly NOT accepted.
- The closing date for enrolment is **18 July 2014**.
- Confirmation will be sent by e-mail. If you have not received any confirmation by 20 June 2014, please contact Ms May Hung, on 2287 7009, or Ms Canace Leung, on 2287 7089.
- Unless the event is cancelled due to unforeseen circumstances, no refunds will be given after applications have been processed.
- Bad weather arrangement: The seminar will be cancelled if typhoon signal no. 8 or above / black rainstorm warning is hoisted, as announced by the Hong Kong Observatory, at or after 2:30 p.m. on the date of the event. In the event of cancellation due to bad weather, the admission fee will be refunded.

Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://www.hkicpa.org.hk/en/members-area/comm-preference/>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at privacyofficer@hkicpa.org.hk or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>. **Please note that if you do opt out, you will no longer receive information about CFIG news and events.**

Payment & Enrolment Status Enquiry: 2287 7381
e-mail: finance@hkicpa.org.hk

Event Information Enquiry: 2287 7009 / 2287 7089
e-mail: may@hkicpa.org.hk

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

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| Name : | Name : |
| Address : | Address : |
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